

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: )  
 ) Group Art Unit: 1754  
KAWAI; YAMASHITA; TOHI; )  
KAWAHARA; MICHIE; KANEYOSHI; ) Examiner: UNKNOWN  
MORI )  
 )  
Serial No. 09/857,687 )  
 )  
Filed: June 08, 2001 )

For: METALLOCENE COMPOUND, PROCESS FOR PRODUCING METALLOCENE  
COMPOUND, OLEFIN POLYMERIZATION CATALYST, PROCESS FOR PRODUCING  
A POLYOLEFIN, AND POLYOLEFIN

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner for Patents  
Washington, D.C. 20231

Sir:

An Information Disclosure Statement is submitted herewith  
pursuant to 37 C.F.R. §1.97-1.98. Please note the following  
particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- [ X ] a. The enclosed statement is being filed within three months  
of the filing date of a national application, or within  
three months of the date of entry into the national stage  
as set forth in 37 C.F.R. §1.491 in an international  
application, or before the mailing date of a first Office  
Action on the merits, whichever event occurs last.
- [ ] b. The enclosed statement is being filed after a first  
action on the merits but before the mailing date of a  
final action under 37 C.F.R. §1.113, or a notice of  
allowance under 37 C.F.R. §1.311.

The enclosed statement is accompanied by [check one]:

- [ ] i. a certification in part (e) below as specified in  
37 C.F.R. §1.97(e), or
- [ ] ii. a check in the amount of \$240.00 required by  
37 C.F.R. §1.17(p).
- [ ] c. The enclosed statement is being filed after the mailing  
date of a final action under 37 C.F.R. §1.113, or a  
notice of allowance under 37 C.F.R. §1.311, but before  
payment of the issue fee.



PETITION: It is requested that the information being submitted be considered. [NOTE: If box (c) is checked, the following two boxes must be checked.]

- ☐ PETITION FEE: A check for \$130.00 required by 37 CFR §1.17(i)(1), is enclosed.  
☐ CERTIFICATION is attended to in box (e) below.

- ☐ d. The enclosed statement is being filed pursuant to 37 C.F.R. §1.97(i), for placement in the file.  
☐ e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]

- ☐ I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

- ☐ I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.


or

- ☐ Appropriate certification is attached.

- ☒ f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 19-1980.

Respectfully submitted,

**SHERMAN AND SHALLOWAY**

  
Agent for Applicants  
Roger C. Hahn  
Reg. No. 46,376

**SHERMAN AND SHALLOWAY**  
413 N. Washington Street  
Alexandria, Virginia 22314  
703-549-2282

ATTORNEY'S DOCKET ZU-403  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R.  
§§1.56 and 1.97-1.99, the documents listed on the attached Form  
PTO-1449 are being brought to the attention of the Examiner in  
charge of the above-identified application. No fee is required for  
this submission because it is submitted before the mailing date of  
a first Office Action on the merits.

Copies of the documents are attached herewith with a completed  
Form PTO-1449. The Examiner is respectfully requested to cite the  
documents listed on the attached Form PTO-1449 in the next Office  
Action. In so doing, the Examiner is respectfully requested to  
initial in the space adjacent to the listing of each document on



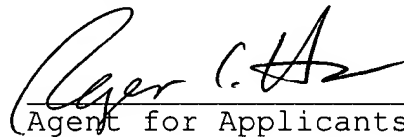
the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 19-1980.

Respectfully submitted,

**SHERMAN AND SHALLOWAY**

A handwritten signature in dark ink, appearing to read "Roger C. Hahn", is written over a horizontal line.

Agent for Applicants  
Roger C. Hahn  
Reg. No. 46,376

**SHERMAN AND SHALLOWAY**  
413 N. Washington Street  
Alexandria, Virginia 22314  
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